City Water, Light & Power Lakeside & Dallman Ash Ponds Springfield, Sangamon County, Illinois

Lakeside and Dallman Ash Ponds Location Restrictions – Unstable Areas

July 2025



Prepared for: City Water, Light & Power 3100 Stevenson Drive Springfield, Illinois 62703



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Attachment 2: Brewerton Coal No. 81

Attachment 3: Dallman Ash Pond Grading Plan



1. INTRODUCTION

CWLP owns and operates two (2) existing CCR surface impoundments. The CWLP CCR surface impoundments are located north and east of the former Lakeside Power Generating Station and Dallman Power Generating Station in the Eastern ½ of Section 12, Township 15 North, Range 5 West, in Springfield, Illinois (see Figure 1). These CCR surface impoundments are identified as the Lakeside Ash Pond and the Dallman Ash Pond (see Figure 2).

The former Lakeside Power Generating Station and Dallman Power Generating Station are situated on the northwestern bank of Lake Springfield in Springfield, Illinois. The Lakeside Ash Pond is immediately north of Spaulding Dam at the northern end of Lake Springfield. The Dallman Ash Pond is immediately northwest of the Lakeside Ash Pond. Placed into service prior to 1958, the Lakeside Ash Pond is primarily a diked embankment. The Lakeside Ash Pond consists of four separate ponds (i.e., three ponds formerly used for storage of lime softening sludge and the settling pond) totaling approximately 35.0 acres. The three lime softening sludge ponds were taken out of service in September 2023 with the completion of the construction of the new lime softening sludge-processing area. The Lakeside Ash Pond ceased receiving ash in 2009. The Dallman Ash Pond was placed into service in approximately 1976 and is also a diked embankment. The Dallman Ash Pond is approximately 34.5 acres and ceased receiving ash in 2021.

As of October 13, 2023, all CCR and non-CCR waste streams ceased flowing into the Lakeside Ash Pond and Dallman Ash Pond.

2. SUBSURFACE INVESTIGATIONS

The subsurface conditions of the area in and surrounding Lakeside and Dallman Ash Ponds have been characterized through multiple subsurface investigations, including the hydrogeologic investigation of the permitted Subtitle D landfill. These investigations were as follows:

- Professional Service Industries (PSI), June 1989. This investigation consisted of five soil borings within the east section of the south cell (Cell 1).
- Andrews Environmental Engineering, Inc., February 1990. This investigation was performed for Cell 2 and consisted of 13 soil borings. The drilling and testing were completed by PSI.
- Andrews Environmental Engineering, Inc., March 1990. This investigation was performed to install six wells at the Facility. The drilling and testing were completed by PSI.
- Patrick Engineering, Inc. (PEI), July 1992. This investigation was performed to further characterize the hydrogeology of the landfill setting. Approximately 44 soil borings and piezometers were installed by PEI.



- Stabilize, Inc. (SI), December 2008. This investigation installed three new monitoring wells
 as part of an assessment program for the landfill. The drilling, soil testing, and well
 construction was performed by Reynolds Well Drilling.
- City Water, Light and Power (CWLP), April 2010. This investigation was performed to install four piezometers on the west side of the CCR surface impoundments along Sugar Creek. The drilling and testing were completed by PSI.
- Stabilize, Inc. (SI), May 2011. This investigation installed four new monitoring wells to further the characterization of the CCR surface impoundments. The drilling, soil testing, and well construction was performed by PSI.
- Andrews Engineering, Inc., January 2012. This investigation was performed to replace CCR surface impoundment wells and install an additional background well. The drilling and well installation were completed by TerraDrill.
- Andrews Engineering, Inc., July 2017. This project included drilling peripheral to the Dallman Ash Pond.
- Andrews Engineering, Inc., June 2019. This investigation advanced 7 direct push borings
 peripheral to the Dallman Ash Pond and included the installation 2 new monitoring wells
 as part of an assessment program for the CCR ash impoundments.
- Andrews Engineering, Inc., October 2023. This investigation consisted of 34 borings next
 to the impoundments and 4 next to landfill Unit 1, to investigate the CCR ash surface
 impoundment berm construction materials and the installation of 29 vibrating wire
 piezometers to characterize pore water pressure in the soils.
- Andrews Engineering, Inc., February 2024. This investigation consisted of 14 borings using cone penetrometer testing adjacent to and within the Dallman and Lakeside Ash ponds.
- Andrews Engineering, Inc., April/May 2024. This investigation consisted of installation of six monitoring wells on the periphery of Lakeside and Dallman Ash Ponds, the advancement of a 100-foot boring northwest of the Dallman Ash Pond, and 4 borings in each of the ash ponds.

3. UNSTABLE AREAS

The United States Environmental Protection Agency (USEPA) promulgated new regulations for Coal Combustion Residual (CCR) slurry impoundments that took effect on October 14, 2015. By rule, existing CCR slurry impoundments were required to provide documentation that the slurry impoundment is not located in an unstable area unless the owner or operator demonstrates by October 17, 2018 that recognized and generally accepted good engineering practices have been incorporated into the design of the CCR slurry impoundment to ensure that the integrity of the structural components of the CCR slurry impoundment will not be disrupted.



Pursuant to comments presented by the USEPA, Andrews Engineering, Inc. is providing the following analysis on the historic underground coal mining to address the unstable areas requirement (§257.64), demonstrating that the area of the CWLP Lakeside and Dallman Ash Ponds are stable.

3.1 Coal Mining

The CWLP property area is assumed to have underground mine works listed by the Illinois DNR Mine Database as Mine Index 122 – Brewerton Coal Company, Brewerton No. 81 Mine; formerly known as the Jefferson (Mine No. 81), Middle States (Mine No. 26), and Brewerton (Mine No. 1). The available information lists the mine as mining the Springfield No. 5 Coal Seam at a depth of 235 feet. The mine portal at the surface was reported at an elevation of 580 feet MSL. Subtracting the depth to the coal seam from the mine portal elevation provides the elevation of the top of coal seam as 345 feet MSL. The Springfield No. 5 Coal Seam in this mine was reported to have a thickness ranging from 5.0 feet to 6.0 feet and an average thickness of 5.5 feet. The mine map depicts 765 acres with an additional 25 acres mined-out after the map date. The Coal Mines in Illinois – Springfield East/West Quadrangle, Sangamon County map prepared by the Illinois State Geological Survey is included in Attachment 1 with the location and postulated extents of the Brewerton Coal Company Brewerton No. 81, Mine Index 122 portion of the Springfield East/West Quadrangle map shown as Figure 2.



J:\S\Springfield CWLP\CWLP Ash Pond\DOC\2025\CAFO Response\Impoundments\Unstable Areas\Slurry Impoundments Unstable Areas FINAL

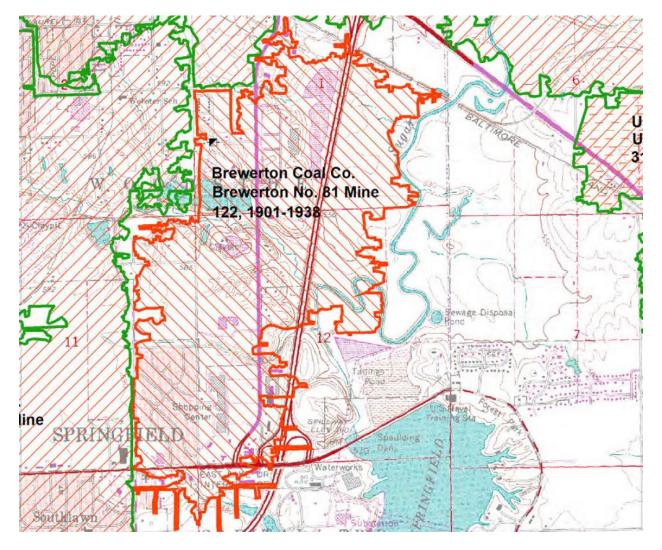


Figure 2. Excerpt from Coal Mines in Illinois - Springfield East/West Quadrangle, Sangamon County

The majority of Dallman Ash Pond is located within the boundary of the mineral rights for the coal mine and thus may have been undermined while Lakeside Ash Pond is clearly outside of the boundary of the mineral rights for the coal mine. Figure 3 below depicts a portion of the mined area directly beneath Dallman Ash Pond, including the limits of mining as presented in the Map of Brewerton Coal Company Mine No. 18 dated February 22, 1938. The available Map of Brewerton Coal Company Mine No. 81, February 22, 1938 is included in Attachment 2. Based upon the Map of Brewerton Coal Company Mine No. 81, February 22, 1938, the eastern half of the northeast quarter of Section 12 Township 15 North, Range 5 West is excluded from the mineral rights with no mining shown in the area.

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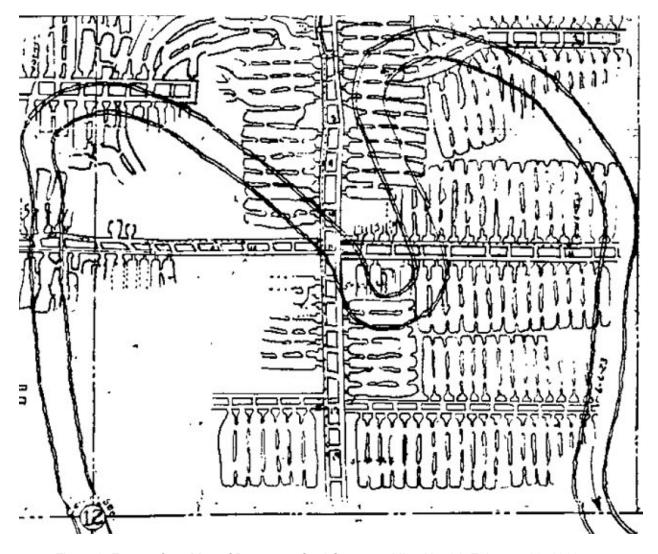


Figure 3. Excerpt from Map of Brewerton Coal Company Mine No. 81, February 22, 1938

The relationship of the former Sugar Creek channel as depicted in the mine map is similar to the channel as depicted in the Dallman Ash Pond Grading Plan dated August 13, 1976. The eastern berm of the Dallman Ash Pond is the eastern limits of the Brewerton Coal Company Mine No. 81 limits. Figure 4 depicts the same area as depicted in Figure 3 based upon the location of Sugar Creek. The Dallman Ash Pond Grading Plan dated August 13, 1976 is included in Attachment 3.

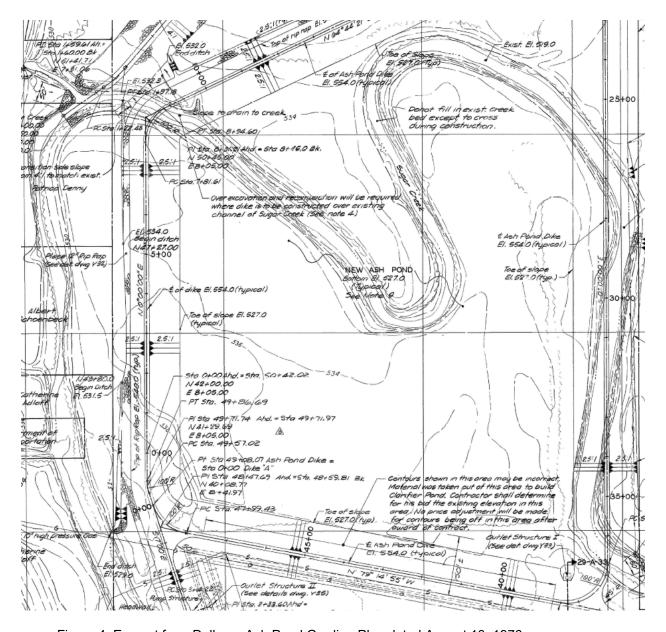


Figure 4. Excerpt from Dallman Ash Pond Grading Plan dated August 13, 1976

3.2 Estimated Extraction Rate

An estimate of the extraction rate was undertaken based upon the total production of the mine in tons of 4,099,901 tons provided in the production history and the average thickness of the mined seam of 5.5 feet. The total mined-out acreage that was shown is 765 acres with an approximate 25 additional acres mined after the map date for a total of 790 acres. A general extraction rate is computed by converting the tonnage to pounds and dividing that by the density of insitu bituminous coal estimated at 84 pounds per cubic foot to determine the total cubic footage mined. Then using the average seam thickness and the total area of the mine, an extraction rate is



approximated. The estimated general extraction rate is 0.54, which is within the expected range of extraction rate for a room-and-pillar panel mine.

3.3 Breakthrough, Pillar and Floor Failure Analyses

A breakthrough, pillar and floor failure analyses were completed relying upon much of the data available from past site investigations for the CWLP facilities and the IL DNR Mines Database. Using the guidelines for mining under or near bodies of water for room and pillar mining presented in Table 8.5 of the MSHA Design Manual 2009, the Babcock and Hooker, 1977 method was utilized. The average extraction thickness was presented as 5.5 feet, the entry width is noted as 24 feet on page 16 of 19 in the Mine Notes for Mine 122 available from IL DNR and the top elevation of the coal seam listed was 345 feet MSL. In the area of the northwest corner of Dallman Ash Pond, the minimum top of bedrock is 501 feet MSL based upon boring B100 drilled in April 2024 and the minimum top of bedrock is 496 feet MSL along the east side of Dallman Ash Pond in the area of Unit 2 Landfill. Taking the difference between the top of bedrock, conservatively 496 feet MSL, and the top of the coal seam, the minimum solid overburden is 151 feet. The criterion requires that both thicknesses of minimum solid overburden must be exceeded using the formulas of 10t and 5s. The minimum solid overburden required is calculated at 10 x 5.5 = 55 feet or 5 x 24 = 120 feet. The minimum solid overburden thickness is 151 feet which exceeds the 120 feet.

A pillar evaluation was conducted for both the pillar failure and the floor failure supporting the pillar. The average stress imposed on the pillars by the overburden was calculated based upon an estimated maximum final capped elevation of 580 feet. Based upon "The State-of-the-Art in Coal Pillar Design" by Chris Mark, the pillars of this mine would be considered between intermediate and slender pillars since the width to height is estimated at approximately 3 and maybe susceptible to pillar squeeze failure. The formula to determine the overburden stresses is provided in the MSHA Design Manual 2009 on page 8-25, formula (8-1) which is presented below.

$$S_p = 1.1 * H * [(w + B) / w] * [(L + B) / L]$$

$$S_p = 1.1 * (580 - 345) * [(15 + 24) / 15] * [(50 + 24) / 50]$$

$$S_p = 995 \text{ psi}$$

The pillar strength was calculated using the Mark-Bieniawski Rectangular Pillar Strength Formula provided below.

$$\sigma_p = \sigma_{\text{cube}} (0.64 + 0.36 \text{ w/h})$$

$$\sigma_p = 930 (0.64 + 0.36 (15 / 5.5))$$

$$\sigma_p = 1,508 \text{ psi}$$



The factor of safety against pillar failure is the pillar strength divided by the overburden stress. Below is the calculation for the factor of safety against pillar failure. The minimum factor of safety as provided on page 8-27 of the MSHA Design Manual is 1.5 for pillars with less than 750 feet of cover.

F.S. =
$$\sigma_p / S_p$$

F.S. = 1.508 / 995 = 1.52

The floor failure analysis for pillar punching is presented below using the same data presented above and using the Vesic-Gadde Equation to determine the strength of the underclay. This mine is in the western shelf of the Illinois Basin as presented in the Murali Gadde, PhD dissertation titled "Weak Floor Stability in the Illinois Basin Underground Coal Mines". The expected floor cohesion is calculated using equation (3.9) on page 144 combined with equation (3.17) on page 148 from Dr. Gadde's dissertation. The average moisture of the Springfield No. 5 Coal underclay is 5.48% in the Illinois Western Basin. The underclay 12 feet in thickness, reported as fireclay (kaolin claystone).

$$c_1 = 1905e^{(-0.1MC)} / 6.17$$

 $c_1 = 178 \text{ psi} = 25,632 \text{ psf}$

The underclay is assumed to have a friction angle of 19 degrees and a saturated unit weight of 120 pounds per cubic foot. The calculations for a floor failure analysis are provided on page 8-27 of the MSHA Design Manual formula (8-3) for pillars with an L to B ratio less than ten. Presented below are the calculations against a floor failure.

$$Q_u = \frac{1}{2}(\gamma \ B \ N_1 \ S_1) + (c \cot \phi \ N_q \ S_q - c \cot \phi)$$

$$N_q = [exp(\pi \tan \phi)] \ [tan^2(45 + \phi/2)] = 5.80$$

$$N_1 = 1.5(N_q + 1) \ tan \ \phi = 3.51$$

$$S_1 = 1.0 - 0.4 \ (B/L) = 0.808$$

$$S_q = 1.0 - \sin \phi \ (B/L) = 0.844$$

$$Q_u = \frac{1}{2}(120 \ ^* 24 \ ^* 3.51 \ ^* 0.808) + (25,632 \ ^* \cot(19) \ ^* 5.80 \ ^* 0.844 - 25,632 \ ^* \cot(19))$$

$$Q_u = 293,625 \ psf = 2,039 \ psi$$

The factor of safety against a floor failure from pillar punching is calculated from the bearing capacity of the floor divided by the average pillar stress. The minimum factor of safety required against pillar punching into the floor is generally at least 1.5 for long-term stability.



 $F.S. = Q_u / S_p$

F.S. = 2,039 / 995 = 2.05

Based upon all the mine analyses, the underground coal mine pillars will support the site features with a factor of safety exceeding 1.5.

4. STATEMENT

This Location Restrictions - Unstable Areas for Coal Combustion Residuals in Slurry Impoundments was completed for CWLP by Andrews Engineering, Inc. in accordance with the requirements under 40 CFR 257.64.

Signature: Kul V Fikv

Illinois P.E. No: 062,068571

Date: 07/11/25

Lic. Exp. 11/30/25

FIGURE 1







1. LIDAR DATA DERIVED FROM USGS WEBSITE (FLIGHT DATE: JUNE 20, 2023).

SCALE: IN FEET

2. BACKGROUND IMAGE FROM GOOGLE EARTH, DATED FEBRUARY 24, 2024.



POWER

PROJECT ID: 220408/0029 SHEET NUMBER:

DATE: JUNE 2024

FIG. 1

ATTACHMENT 1:

Coal Mines in Illinois Springfield East/West Quadrangle Sangamon County



Coal Mines in Illinois SPRINGFIELD EAST/WEST QUADRANGLE SANGAMON COUNTY

Mined Out Quadrangle Map Series

The Illinois State Geological Survey and the University of Illinois make no guarantee, expressed or

liability for the consequences of decisions made by others on the basis of the information presented here.

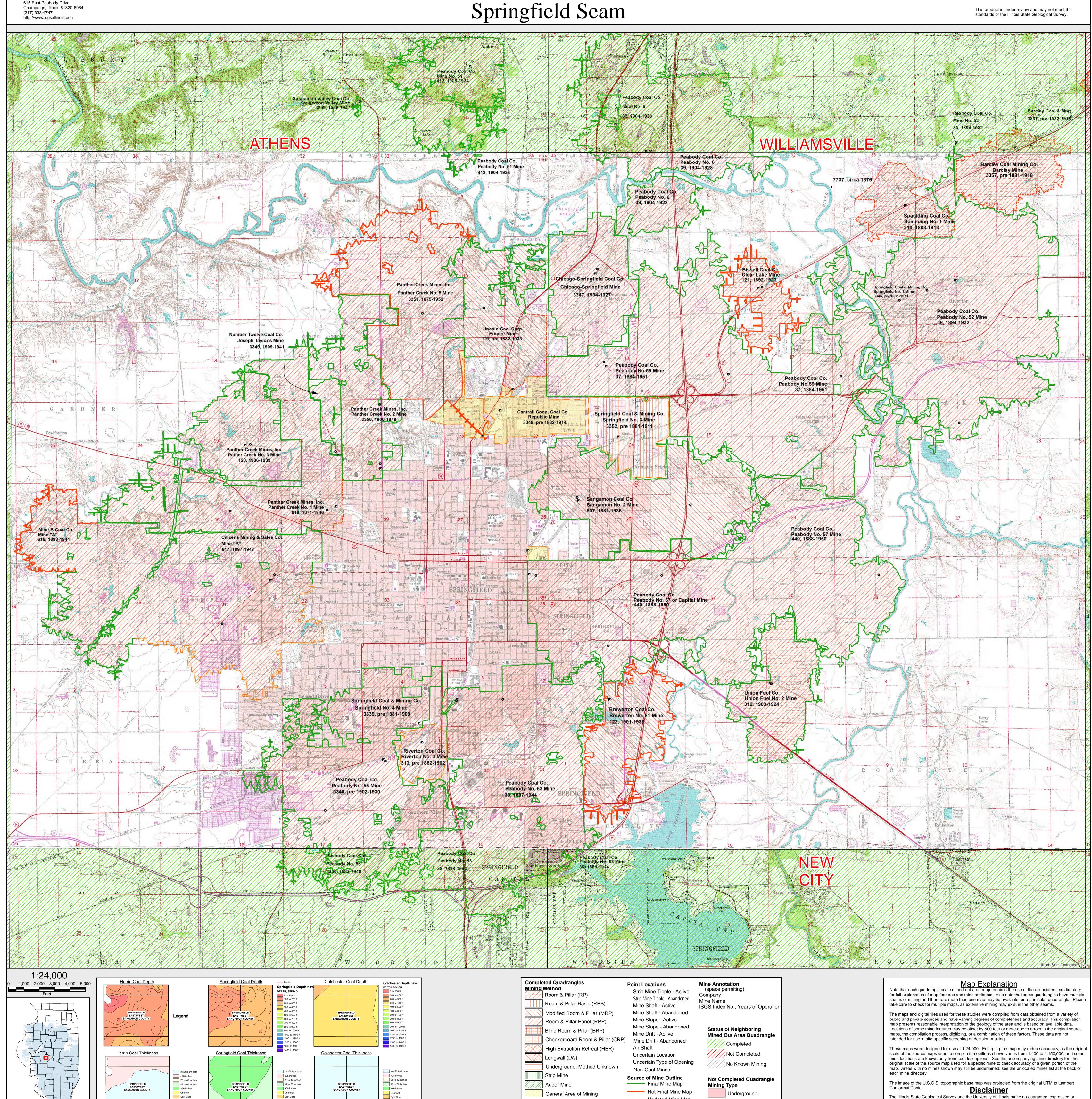
implied, regarding the correctness of the interpretations presented in this data set and accept no

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THIS MAP ACCOMPANIES THE COAL MINES DIRECTORY FOR THE SPRINGFIELD EAST/WEST QUADRANGLE. CONSULT THE DIRECTORY FOR A COMPLETE EXPLANATION OF THE

Mine Outlines Compiled by

Margaret Bargh & C. Chenoweth July 13, 1999 Revised 2014 & 2017 This product is under review and may not meet the



Other Areas Depicted

Non-Coal Mines

Undated Mine Map

---- Incomplete Mine Map

Secondary Source Map

Surface

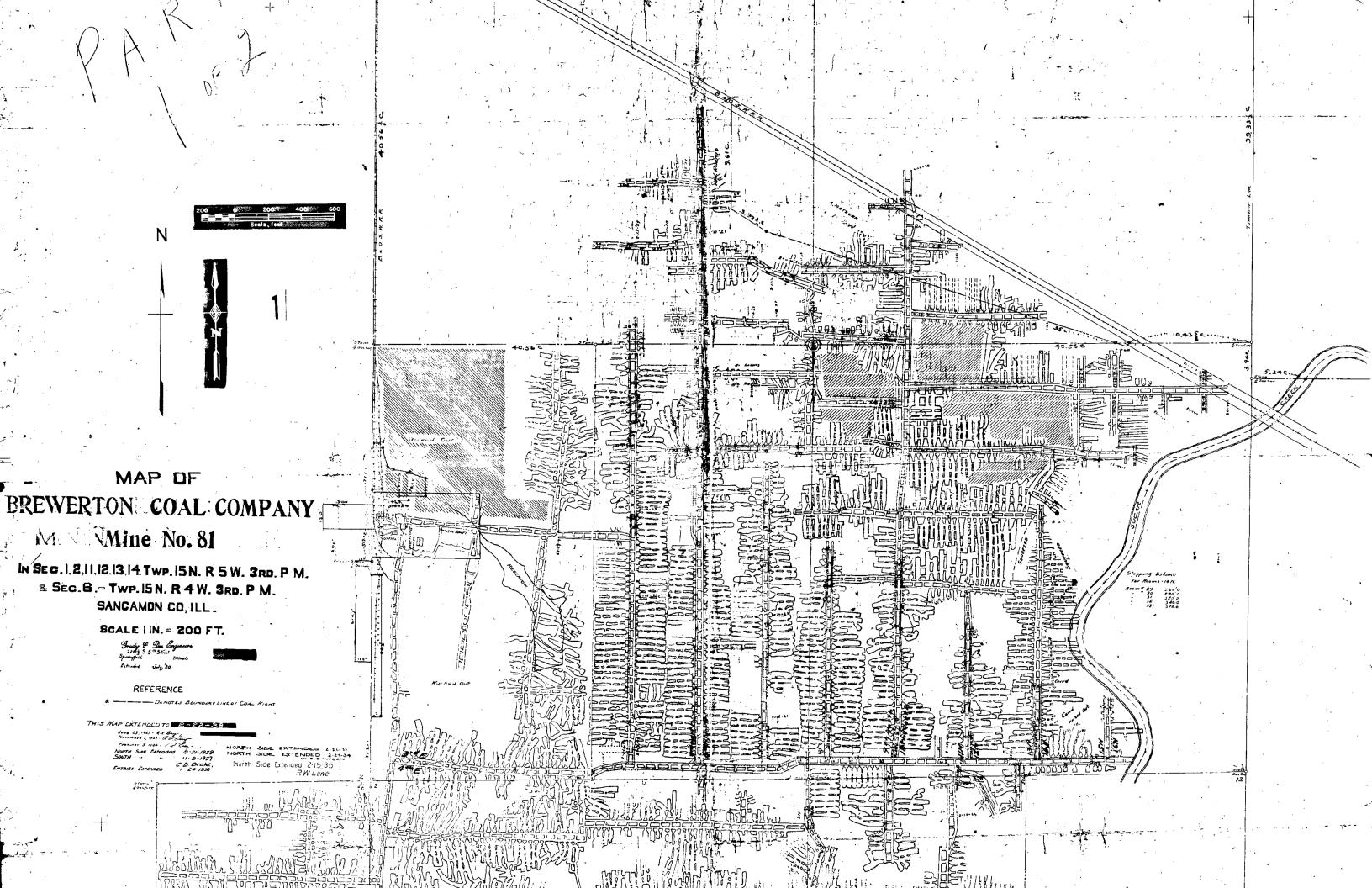
General Area of Mining

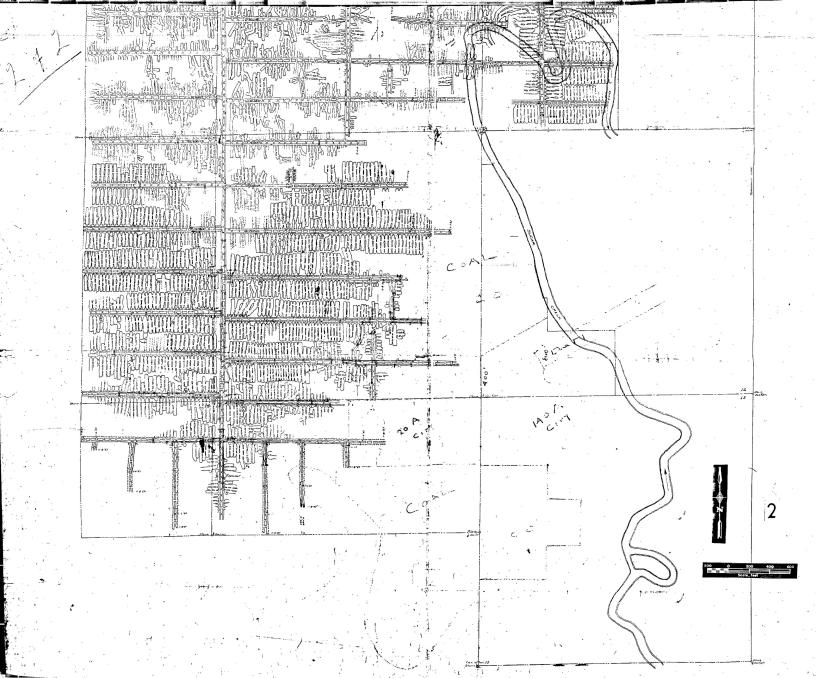
Split Coal

ATTACHMENT 2:

Brewerton Coal No. 81







ATTACHMENT 3: Dallman Ash Pond Grading Plan



